

# Annexure 'I'

## to the directors' report

FORM No. MGT-9

**EXTRACT OF ANNUAL RETURN**

as on the financial year ended 31.03.2019

[Pursuant to Section 92(3) of the Companies Act, 2013, and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

**I. Registration and other details**

<b>CIN</b>	L27100MH1973PLC016617
<b>Registration Date</b>	23.06.1973
<b>Name of the Company</b>	Sarda Energy & Minerals Limited
<b>Category / Sub-Category of the Company</b>	Public company / Limited by shares
<b>Address of the Registered Office and contact details</b>	73-A, Central Avenue, Nagpur (M.H.) 440018 Tel: +91-712-2722407
<b>Whether listed company</b>	Yes
<b>Name, address and contact details of Registrar and Transfer Agent, if any</b>	Bigshare Services Private Limited 1st Floor, Bharat Tin Works Building Opp. Basant Oasis, Makwana Road Marol, Andheri East, Mumbai - 400059, Maharashtra Tel: +91-22-6263 8200, Fax: +91-22-6263 8299 E-mail : investor@bigshareonline.com

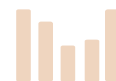
**II. Principal Business Activities of the Company**

All the Business Activities contributing 10% or more of the total turnover of the Company shall be stated :

Sl. No.	Name and Description of main Products / Services	NIC Code of the Product / Service	% to total turnover of the Company
1	Sponge Iron/Ferro Alloys/Billet/Wire-rod/ Iron ore Pellet	241 - Manufacture of basic iron and steel	95.66%

**III. Particulars of Holding, Subsidiary and Associate Companies**

Name and address of the Company	CIN / GLN	Holding / Subsidiary / Associate	% of shares held	Applicable Section
Sarda Energy & Minerals Hongkong Limited 5th Floor, Dah Sing Life Bldg.,99-105 Des Voeux Road, Central, Hongkong	NA	Subsidiary	100.00%	2(87)(ii)
Sarda Global Venture Pte. Limited 9, Raffles Place, #57-00, Republic Plaza, Singapore - 048619	NA	Subsidiary	100.00%	2(87)(ii)
Sarda Global Trading DMCC Unit No.1241, DMCC Business Centre, Level No.1, Jewellery & Gemplex 3, Dubai, UAE	NA	Subsidiary	100.00%	2(87)(ii)
Sarda Metals & Alloys Limited 125-B Wing, Mittal Court, Nariman Point, Mumbai	U51420MH2008PLC187689	Subsidiary	100.00%	2(87)(ii)
Sarda Energy Limited Vanijya Bhawan, 1st Floor, Sai Nagar Devendra Nagar Road, Raipur	U40102CT2008PLC000406	Subsidiary	100.00%	2(87)(ii)



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Name and address of the Company	CIN / GLN	Holding / Subsidiary / Associate	% of shares held	Applicable Section
Madhya Bharat Power Corporation Limited E-585, Ground Floor, Greater Kailash - II, New Delhi	U74899DL1994PLC061349	Subsidiary	68.31%	2(87)(ii)
Parvatiya Power Limited 136 Khari Bazar, Ranikhet, Uttranchal	U40101UP1994PLC017151	Subsidiary	51.00%	2(87)(ii)
Sarda Hydro Power LLP# HIG Duplex House No. -2A Moulshri Vihar, Purenra Raipur	AAO-4263	Subsidiary	60.00%	2(87)(ii)
Raipur Fabritech Private Limited* 125-B Wing, Mittal Court, Nariman Point, Mumbai	U28910MH2011PTC221297	Subsidiary	52.38%	2(87)(ii)
Raipur Industrial Gases Private Limited* 125-B Wing, Mittal Court, Nariman Point, Mumbai	U40300MH2011PTC224871	Subsidiary	51.00%	2(87)(ii)
Natural Resources Energy Private Limited JMG House, Near Abhivadan House, Panchsheel Nagar, Raipur	U40101CT2007PTC020163	Subsidiary	71.55%	2(87)(ii)
Chhattisgarh Hydro Power LLP House No.A/02, VIP Road, Moul Shree Vihar, Raipur	AAA-2277	Subsidiary	60.00%	2(87)(ii)
Shri Ram Electricity LLP Vanijya Bhawan, 1st Floor, Sai Nagar, Devendra Nagar Road, Raipur	AAA-2517	Subsidiary	51.00%	2(87)(ii)
Raipur Infrastructure Company Limited Vanijya Bhawan, Sai Nagar Devendra Nagar Road, Raipur	U45203CT2004PLC016321	Associate	33.33%	2(6)
Madanpur South Coal Company Limited Vanijya Bhawan, Sai Nagar Devendra Nagar Road, Raipur	U10300CT2006PLC020006	Associate	20.13%	2(6)

# Converted into LLP from Sarda Hydro Power Private Limited

\* Companies under strike-off.

#### IV. Shareholding Pattern (Equity Share Capital Breakup as percentage of Total Equity)

##### i) Category-wise Shareholding

Category of Shareholders	No. of Shares held at the beginning of the year				No. of shares held at the end of the year				% Change
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoters</b>									
<b>(1) Indian</b>									
a) Individual / HUF	79,07,397	0	79,07,397	21.93	79,07,397	0	79,07,397	21.93	0.00
b) Central Govt.	0	0	0	0.00	0	0	0	0.00	0.00
c) State Govt.(s)	0	0	0	0.00	0	0	0	0.00	0.00
d) Bodies Corporate	1,79,89,232	0	1,79,89,232	49.90	1,80,79,232	0	1,80,79,232	50.15	0.25
e) Banks / FI	0	0	0	0.00	0	0	0	0.00	0.00

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Category of Shareholders	No. of Shares held at the beginning of the year				No. of shares held at the end of the year				% Change
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
f) Any Other....	0	0	0	0.00	0	0	0	0.00	0.00
f i) Firm	1,47,731	0	1,47,731	0.41	1,47,731	0	1,47,731	0.41	0.00
<b>Sub-Total (A)(1) :</b>	<b>2,60,44,360</b>	<b>0</b>	<b>2,60,44,360</b>	<b>72.25</b>	<b>2,61,34,360</b>	<b>0</b>	<b>2,61,34,360</b>	<b>72.50</b>	<b>0.25</b>
<b>(2) Foreign</b>									
a) NRIs - Individuals	0	0	0	0.00	0	0	0	0.00	0.00
b) Other - Individuals	0	0	0	0.00	0	0	0	0.00	0.00
c) Bodies Corporate	0	0	0	0.00	0	0	0	0.00	0.00
d) Banks / FI	0	0	0	0.00	0	0	0	0.00	0.00
e) Any Other....	0	0	0	0.00	0	0	0	0.00	0.00
<b>Sub-Total (A)(2):</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
<b>Total Shareholding of Promoters (A) = (A)(1)+(A)(2)</b>	<b>2,60,44,360</b>	<b>0</b>	<b>2,60,44,360</b>	<b>72.25</b>	<b>2,61,34,360</b>	<b>0</b>	<b>2,61,34,360</b>	<b>72.50</b>	<b>0.25</b>
<b>B. Public Shareholding</b>									
<b>(1) Institutions</b>									
a) Mutual Funds / UTI	0	0	0	0.00	0	0	0	0.00	0.00
b) Banks / FI	31,570	2,500	34,070	0.09	19,515	2,500	22,015	0.06	(0.03)
c) Central Govt.	0	0	0	0.00	0	0	0	0.00	0.00
d) State Govt.(s)	0	0	0	0.00	0	0	0	0.00	0.00
e) Venture Capital Funds	0	0	0	0.00	0	0	0	0.00	0.00
f) Insurance Companies	0	250	250	0.00	0	250	250	0.00	0.00
g) FIs	11,220	0	11,220	0.03	0	0	0	0.00	(0.03)
h) Foreign Portfolio Investors	9,81,771	0	9,81,771	2.72	7,87,587	0	7,87,587	2.18	(0.54)
i) Others (specify)	0	0	0	0.00	0	0	0	0.00	0.00
<b>Sub-Total (B)(1):</b>	<b>10,24,561</b>	<b>2,750</b>	<b>10,27,311</b>	<b>2.85</b>	<b>8,07,102</b>	<b>2,750</b>	<b>8,09,852</b>	<b>2.25</b>	<b>(0.60)</b>
<b>(2) Non-Institutions</b>									
<b>a) Bodies Corporate</b>									
i) Indian	14,91,703	9,949	15,01,652	4.17	15,58,170	9,949	15,68,119	4.35	0.18
ii) Overseas	16,84,891	0	16,84,891	4.67	6,84,891	0	6,84,891	1.90	(2.77)
<b>b) Individuals</b>									
i) Individual Share holders holding nominal share capital upto ₹ 1 lakh	34,90,603	3,25,680	38,16,283	10.59	39,86,096	2,96,459	42,82,555	11.88	1.29



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Category of Shareholders	No. of Shares held at the beginning of the year				No. of shares held at the end of the year				% Change
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
i) Individual Shareholders holding nominal share capital in excess of ₹ 1 lakh	11,86,952	0	11,86,952	3.29	16,93,937	0	16,93,937	4.70	1.41
c) Others (specify)									
c i) NRIs	1,88,403	0	1,88,403	0.52	2,05,309	0	2,05,309	0.57	0.05
c ii) Directors & Relatives	1,11,219	7,841	1,19,060	0.33	1,12,019	7,841	1,19,860	0.33	0.00
c iii) NBFC's regd. with RBI	3,085	0	3,085	0.01	311	0	311	0.00	(0.01)
c iv) Clearing Member	3,49,441	0	3,49,441	0.97	4,06,813	0	4,06,813	1.13	0.16
c v) Trusts	500	0	500	0.00	1,700	0	1,700	0.00	0.00
c vi) IEPF	1,27,297	0	1,27,297	0.35	1,41,528	0	1,41,528	0.39	0.39
<b>Sub-Total (B)(2):</b>	<b>86,34,094</b>	<b>3,43,470</b>	<b>89,77,564</b>	<b>24.90</b>	<b>87,90,774</b>	<b>3,14,249</b>	<b>91,05,023</b>	<b>25.26</b>	<b>0.35</b>
<b>Total Public Share holding (B)=(B)(1)+(B)(2)</b>	<b>96,58,655</b>	<b>3,46,220</b>	<b>1,00,04,875</b>	<b>27.75</b>	<b>95,97,876</b>	<b>3,16,999</b>	<b>99,14,875</b>	<b>27.50</b>	<b>(0.25)</b>
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
<b>Grand Total (A+B+C)</b>	<b>3,57,03,015</b>	<b>3,46,220</b>	<b>3,60,49,235</b>	<b>100.00</b>	<b>3,57,32,236</b>	<b>3,16,999</b>	<b>3,60,49,235</b>	<b>100.00</b>	<b>(0.00)</b>

#### ii) Shareholding of Promoters

Sl. No.	Shareholders Name	Shareholding as on 01.04.2018			Shareholding at on 31.03.2019			% change
		No. of Shares	% of total shares of the Company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total shares of the Company	% of Shares Pledged / encumbered to total shares	
1	Chhatisgarh Investments Limited	1,37,88,760	38.25	0	1,38,78,760	38.50	0	0.250
2	Sarda Agriculture & Properties Private Limited	26,35,150	7.31	0	26,35,150	7.31	0	0.000
3	Prachi Agriculture & Properties Private limited	15,65,322	4.34	0	15,65,322	4.34	0	0.000
4	G.D. Sarda	13,92,378	3.86	0	13,92,378	3.86	0	0.000
5	Kamal Kishore Sarda	13,09,149	3.63	0	13,09,149	3.63	0	0.000
6	J.K. Sarda	11,72,365	3.25	0	11,72,365	3.25	0	0.000
7	Shakuntala Sarda	10,14,518	2.81	0	10,14,518	2.81	0	0.000
8	Chhatisgarh Investments Limited (Firm)	1,47,731	0.41	0	1,47,731	0.41	0	0.000
9	Veena Sarda	7,02,350	1.95	0	7,02,350	1.95	0	0.000
10	Pankaj Sarda	6,91,107	1.92	0	6,91,107	1.92	0	0.000
11	Premlata Sarda	4,68,910	1.30	0	4,68,910	1.30	0	0.000

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Sl. No.	Shareholders Name	Shareholding as on 01.04.2018			Shareholding at on 31.03.2019			% change
		No. of Shares	% of total shares of the Company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total shares of the Company	% of Shares Pledged / encumbered to total shares	
12	Uma Sarda	4,89,425	1.36	0	4,89,425	1.36	0	0.000
13	Dr. K.K. Rathi	6,000	0.02	0	6,000	0.02	0	0.000
14	Manish Sarda	2,37,570	0.66	0	2,37,570	0.66	0	0.000
15	Aditya Sarda	1,12,840	0.31	0	1,12,840	0.31	0	0.000
16	Raghav Sarda	1,12,840	0.31	0	1,12,840	0.31	0	0.000
17	Neeraj Sarda	98,490	0.27	0	98,490	0.27	0	0.000
18	Anant Sarda	49,140	0.14	0	49,140	0.14	0	0.000
19	J.K. Sarda (HUF)	21,716	0.06	0	21,716	0.06	0	0.000
20	Shashi Rathi	19,467	0.05	0	19,467	0.05	0	0.000
21	Aditi Sarda	9,132	0.03	0	9,132	0.03	0	0.000
	<b>Total</b>	<b>2,60,44,360</b>	<b>72.247</b>	<b>0</b>	<b>2,61,34,360</b>	<b>72.496</b>	<b>0</b>	<b>0.250</b>

#### iii) Change in Promoters' Shareholding (Please specify, if there is no change)

Sl. No.	Name		Shareholding (Beginning of the year)		Transactions during the year			Cumulative shareholding during the year	
			No. of Shares	% of total shares	Date	Reason	No. of shares	Cumulative	% of total shares
1	Chhatisgarh Investments Limited	At the beginning of the year	1,37,88,760	38.25					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			20.06.2018	Purchase	90,000	1,38,78,760	38.50
		At the end of the year	1,38,78,760	38.50					
2	Sarda Agriculture & Properties Private Limited	At the beginning of the year	26,35,150	7.31					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			NIL			NIL	
		At the end of the year	26,35,150	7.31					
3	Prachi Agriculture & Properties Private Limited	At the beginning of the year	15,65,322	4.34					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			NIL			NIL	
		At the end of the year	15,65,322	4.34					



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Sl. No.	Name		Shareholding (Beginning of the year)		Transactions during the year			Cumulative shareholding during the year	
			No. of Shares	% of total shares	Date	Reason	No. of shares	Cumulative	% of total shares
4	Ghanshyam Sarda	At the beginning of the year	13,92,378	3.86					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			NIL			NIL	
		At the end of the year	13,92,378	3.86					
5	Kamal Kishore Sarda	At the beginning of the year	13,09,149	3.63					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			NIL			NIL	
		At the end of the year	13,09,149	3.63					
6	J. K. Sarda	At the beginning of the year	11,72,365	3.25					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			NIL			NIL	
		At the end of the year	11,72,365	3.25					
7	Shakuntala Sarda	At the beginning of the year	10,14,518	2.81					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			NIL			NIL	
		At the end of the year	10,14,518	2.81					
8	Chhatisgarh Investments Limited (Firm)	At the beginning of the year	1,47,731	0.41					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			NIL			NIL	
		At the end of the year	1,47,731	0.41					

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Sl. No.	Name		Shareholding (Beginning of the year)		Transactions during the year			Cumulative shareholding during the year	
			No. of Shares	% of total shares	Date	Reason	No. of shares	Cumulative	% of total shares
9	Veena Sarda	At the beginning of the year	7,02,350	1.95					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			NIL			NIL	
		At the end of the year	7,02,350	1.95					
10	Pankaj Sarda	At the beginning of the year	6,91,107	1.92					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			NIL			NIL	
		At the end of the year	6,91,107	1.92					
11	Premlata Sarda	At the beginning of the year	4,68,910	1.30					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			NIL			NIL	
		At the end of the year	4,68,910	1.30					
12	Uma Sarda	At the beginning of the year	4,89,425	1.36					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			NIL			NIL	
		At the end of the year	4,89,425	1.36					
13	Dr. K.K. Rathi	At the beginning of the year	6,000	0.02					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			NIL			NIL	
		At the end of the year	6,000	0.02					



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Sl. No.	Name		Shareholding (Beginning of the year)		Transactions during the year			Cumulative shareholding during the year	
			No. of Shares	% of total shares	Date	Reason	No. of shares	Cumulative	% of total shares
14	Manish Sarda	At the beginning of the year	2,37,570	0.66					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			NIL			NIL	
		At the end of the year	2,37,570	0.66					
15	Aditya Sarda	At the beginning of the year	1,12,840	0.31					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			NIL			NIL	
		At the end of the year	1,12,840	0.31					
16	Raghav Sarda	At the beginning of the year	1,12,840	0.31					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			NIL			NIL	
		At the end of the year	1,12,840	0.31					
17	Neeraj Sarda	At the beginning of the year	98,490	0.27					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			NIL			NIL	
		At the end of the year	98,490	0.27					
18	Anant Sarda	At the beginning of the year	49,140	0.14					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			NIL			NIL	
		At the end of the year	49,140	0.14					



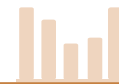
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Sl. No.	Name		Shareholding (Beginning of the year)		Transactions during the year			Cumulative shareholding during the year	
			No. of Shares	% of total shares	Date	Reason	No. of shares	Cumulative	% of total shares
19	J.K. Sarda (HUF)	At the beginning of the year	21,716	0.06					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			NIL			NIL	
		At the end of the year	21,716	0.06					
20	Shashi Rathi	At the beginning of the year	19,467	0.05					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			NIL			NIL	
		At the end of the year	19,467	0.05					
21	Aditi Sarda	At the beginning of the year	9,132	0.03					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			NIL			NIL	
		At the end of the year	9,132	0.03					

#### iv) Shareholding Pattern of Top Ten Shareholders (Other than Directors, Promoters and Holders of GDRs and ADRs)

Sl. No.	Name		Shareholding (Beginning of the year)		Transactions during the year			Cumulative shareholding during the year	
			No. of Shares	% of total shares	Date	Reason	No. of shares	Cumulative	% of total shares
1	Asia Minerals Limited	At the beginning of the year	16,84,891	4.67					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/sweat equity etc):							
				25.05.2018	Sale	(1,25,000)	15,59,891	4.33	
				01.06.2018	Sale	(1,35,000)	14,24,891	3.95	
				08.06.2019	Sale	(3,40,000)	10,84,891	3.01	
				22.02.2019	Sale	(4,00,000)	6,84,891	1.90	
		At the end of the year	6,84,891	1.90					



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			No. of Shares	% of total shares	Date	Reason	No. of shares	Cumulative	% of total shares
2	Fortune Couriers Limited	At the beginning of the year	2,74,528	0.76					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):			31.08.2018	Sale	(10,000)	2,64,528	0.73
					02.11.2018	Sale	(5,000)	2,59,528	0.72
					29.03.2019	Purchase	13,498	2,73,026	0.76
		At the end of the year	2,73,026	0.76					
3	Pratibha Endeavor Private Limited	At the beginning of the year	2,63,861	0.73					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):			22.06.2018	Sale	(90,000)	1,73,861	0.48
		At the end of the year	1,73,861	0.48					
4	Acadian Emerging Markets Small Cap Equity Fund LLC	At the beginning of the year	2,17,272	0.60					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):			25.05.2018	Sale	(6,176)	2,11,096	0.59
					15.06.2018	Purchase	5,799	2,16,895	0.60
		At the end of the year	2,16,895	0.60					
5	The Board of Regents of the University of Texas System Acadian Asset Management	At the beginning of the year	1,67,325	0.46					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):			18.05.2018	Sale	(9,182)	1,58,143	0.44
					15.06.2018	Purchase	8,481	1,66,624	0.46
					22.06.2018	Purchase	7,579	1,74,203	0.48
		At the end of the year	1,74,203	0.48					
6	Ajay Natwarlal Vora* 13015200 00153044	At the beginning of the year	1,38,000	0.38					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):			05.10.2018	Purchase	3,000	1,41,000	0.39
		At the end of the year	1,41,000	0.39					

## Annexure 'I'

### to the directors' report

Sl. No.	Name		Shareholding (Beginning of the year)		Transactions during the year			Cumulative shareholding during the year	
			No. of Shares	% of total shares	Date	Reason	No. of shares	Cumulative	% of total shares
7	Prabhudas Liladhar Private Limited*	At the beginning of the year	1,20,766	0.34					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):			06.04.2018	Sale	(2,003)	1,18,763	0.33
					13.04.2018	Sale	(2,243)	1,16,520	0.32
					20.04.2018	Purchase	1,887	1,18,407	0.33
					27.04.2018	Sale	(2,902)	1,15,505	0.32
					04.05.2018	Sale	(490)	1,15,015	0.32
					11.05.2018	Sale	(264)	1,14,751	0.32
					18.05.2018	Purchase	1,697	1,16,448	0.32
					25.05.2018	Purchase	5,125	1,21,573	0.34
					01.06.2018	Purchase	2,059	1,23,632	0.34
					08.06.2018	Purchase	659	1,24,291	0.34
					15.06.2018	Purchase	45	1,24,336	0.34
					22.06.2018	Purchase	1,860	1,26,196	0.35
					29.06.2018	Purchase	8,251	1,34,447	0.37
					06.07.2018	Purchase	1,069	1,35,516	0.38
					13.07.2018	Sale	(810)	1,34,706	0.37
					20.07.2018	Sale	(1,197)	1,33,509	0.37
					27.07.2018	Purchase	4,373	1,37,882	0.38
					03.08.2018	Sale	(6,100)	1,31,782	0.37
					10.08.2018	Sale	(1,355)	1,30,427	0.36
					17.08.2018	Sale	(7,115)	1,23,312	0.34
					24.08.2018	Sale	(20,852)	1,02,460	0.28
					31.08.2018	Sale	(1,901)	1,00,559	0.28
					07.09.2018	Sale	(8,886)	91,673	0.25
					14.09.2018	Purchase	2,973	94,646	0.26
					21.09.2018	Purchase	2,813	97,459	0.27
					28.09.2018	Sale	(3,162)	94,297	0.26
					05.10.2018	Sale	(355)	93,942	0.26
					12.10.2018	Sale	(294)	93,648	0.26
					19.10.2018	Sale	(560)	93,088	0.26
					26.10.2018	Purchase	1,050	94,138	0.26
					02.11.2018	Sale	(1,075)	93,063	0.26
					09.11.2018	Sale	(9,322)	83,741	0.23
					16.11.2018	Purchase	1,950	85,691	0.24
					23.11.2018	Sale	(6,186)	79,505	0.22
					30.11.2018	Sale	(720)	78,785	0.22
					07.12.2018	Sale	(3,906)	74,879	0.21
					14.12.2018	Purchase	2,013	76,892	0.21
					21.12.2018	Sale	(4,072)	72,820	0.20
					28.12.2018	Sale	(1,882)	70,938	0.20
					31.12.2018	Sale	(922)	70,016	0.19
					04.01.2019	Sale	(1,204)	68,812	0.19
					11.01.2019	Purchase	3,211	72,023	0.20
					18.01.2019	Sale	(4,111)	67,912	0.19
					25.01.2019	Sale	(686)	67,226	0.19



## Annexure 'I'

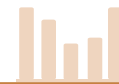
### to the directors' report

Sl. No.	Name		Shareholding (Beginning of the year)		Transactions during the year			Cumulative shareholding during the year	
			No. of Shares	% of total shares	Date	Reason	No. of shares	Cumulative	% of total shares
					01.02.2019	Purchase	688	67,914	0.19
					08.02.2019	Purchase	727	68,641	0.19
					15.02.2019	Sale	(1,793)	66,848	0.19
					01.03.2019	Purchase	83	66,931	0.19
					08.03.2019	Purchase	787	67,718	0.19
					15.03.2019	Purchase	1,450	69,168	0.19
					22.03.2019	Sale	(1,850)	67,318	0.19
					29.03.2019	Sale	(2,741)	64,577	0.18
		At the end of the year	64,577	0.18					
8	Pension Reserves Investment Trust Fund - Acadian*	At the beginning of the year	1,06,044	0.29					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):			08.06.2018	Purchase	9,831	1,15,875	0.32
		At the end of the year	1,15,875	0.32					
9	Suresh Bhatia*	At the beginning of the year	1,05,000	0.29					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):			25.05.2018	Sale	(5,000)	1,00,000	0.28
					08.06.2018	Sale	(1,038)	98,962	0.27
					03.08.2018	Sale	(29,998)	68,964	0.19
					28.09.2018	Sale	(4,940)	64,024	0.18
					05.10.2018	Sale	(1,500)	62,524	0.17
					12.10.2018	Sale	(2,500)	60,024	0.17
					19.10.2018	Sale	(60,000)	24	0.00
		At the end of the year	24	0.00					
10	Prachi Sarda*	At the beginning of the year	70,842	0.20	NIL			NIL	
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):							
		At the end of the year	70,842	0.20					
11	Pace Stock Broking Services Private Limited	At the beginning of the year	2,350	0.01					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):			20.04.2018	Sale	(2,000)	350	0.00
					18.05.2018	Purchase	100	450	0.00
					25.05.2018	Purchase	1,000	1,450	0.00
					01.06.2018	Sale	(1,000)	450	0.00
					15.06.2018	Sale	(22)	428	0.00
					22.06.2018	Sale	(3)	425	0.00
					13.07.2018	Purchase	702	1,127	0.00
					20.07.2018	Sale	(702)	425	0.00
					17.08.2018	Sale	(200)	225	0.00

## Annexure 'I'

### to the directors' report

Sl. No.	Name		Shareholding (Beginning of the year)		Transactions during the year			Cumulative shareholding during the year	
			No. of Shares	% of total shares	Date	Reason	No. of shares	Cumulative	% of total shares
					07.09.2018	Purchase	550	775	0.00
					14.09.2018	Sale	(75)	700	0.00
					16.11.2018	Sale	(525)	175	0.00
					23.11.2018	Purchase	75	250	0.00
					30.11.2018	Purchase	105	355	0.00
					07.12.2018	Sale	(105)	250	0.00
					21.12.2018	Sale	(50)	200	0.00
					18.01.2019	Purchase	25	225	0.00
					25.01.2019	Sale	(25)	200	0.00
					08.02.2019	Purchase	95	295	0.00
					15.02.2019	Sale	(95)	200	0.00
					22.02.2019	Purchase	4,00,000	4,00,200	1.11
					01.03.2019	Sale	(2,00,000)	2,00,200	0.56
					08.03.2019	Purchase	23	2,00,223	0.56
					15.03.2019	Sale	(23)	2,00,200	0.56
					22.03.2019	Purchase	60	2,00,260	0.56
					29.03.2019	Purchase	50	2,00,310	0.56
		At the end of the year	2,00,310	0.56					
12	Mahima Stocks Private Limited	At the beginning of the year	0	0.00					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):			01.03.2019	Purchase	2,00,000	2,00,000	0.55
		At the end of the year	2,00,000	0.55					
13	Pankaj Jalan	At the beginning of the year	0	0.00					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):			24.08.2019	Purchase	1,58,928	1,58,928	0.44
					19.10.2018	Purchase	26,072	1,85,000	0.51
		At the end of the year	1,85,000	0.51					
14	Parwati Devi Jalan	At the beginning of the year	0	0.00					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):			24.08.2018	Purchase	1,30,000	1,30,000	0.36
					11.01.2019	Purchase	13,315	1,43,315	0.40
					18.01.2019	Purchase	22,334	1,65,649	0.46
					25.01.2019	Purchase	5,606	1,71,255	0.48
					08.02.2019	Purchase	10,358	1,81,613	0.50
		At the end of the year	1,81,613	0.50					



## Annexure 'I'

### to the directors' report

Sl. No.	Name		Shareholding (Beginning of the year)		Transactions during the year			Cumulative shareholding during the year	
			No. of Shares	% of total shares	Date	Reason	No. of shares	Cumulative	% of total shares
15	Niraj Jalan	At the beginning of the year	0	0.00					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):			24.08.2018	Purchase	1,60,000	1,60,000	0.44
		At the end of the year	1,60,000	0.44					

\*Among top 10 as on 31.03.2018

#### v) Shareholding of Directors and Key Managerial Personnel

Sl. No.	Name		Shareholding (Beginning of the year)		Transactions during the year			Cumulative shareholding during the year	
			No. of Shares	% of total shares	Date	Reason	No. of shares	Cumulative	% of total shares
1	Kamal Kishore Sarda	At the beginning of the year	13,09,149	3.63					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):			NA			NA	
		At the end of the year	13,09,149	3.63					
2	Pankaj Sarda	At the beginning of the year	6,91,107	1.92					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):			NA			NA	
		At the end of the year	6,91,107	1.92					
3	Uma Sarda	At the beginning of the year	4,89,425	1.36					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):			NA			NA	
		At the end of the year	4,89,425	1.36					

## Annexure 'I'

### to the directors' report

Sl. No.	Name		Shareholding (Beginning of the year)		Transactions during the year			Cumulative shareholding during the year	
			No. of Shares	% of total shares	Date	Reason	No. of shares	Cumulative	% of total shares
4	C.K. Lakshmi narayanan	At the beginning of the year	8,000	0.02					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):				NA		NA	
		At the end of the year	8,000	0.02					
5	A.K. Basu	At the beginning of the year	4,000	0.01					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):				NA		NA	
		At the end of the year	4,000	0.01					
6	G.S. Sahni	At the beginning of the year	3,500	0.01					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):				NA		NA	
		At the end of the year	3,500	0.01					
7	J. Balakrishnan	At the beginning of the year	1,667	0.00					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):				NA		NA	
		At the end of the year	1,667	0.00					
8	Rakesh Mehra	At the beginning of the year	9,737	0.03					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):			24.08.2018	Purchase	650	10387	0.03
					31.08.2018	Sale	(150)	10237	0.03
					07.09.2018	Purchase	300	10537	0.03
		At the end of the year	10,537	0.03					



## Annexure 'I'

### to the directors' report

Sl. No.	Name		Shareholding (Beginning of the year)		Transactions during the year			Cumulative shareholding during the year	
			No. of Shares	% of total shares	Date	Reason	No. of shares	Cumulative	% of total shares
9	P.R. Tripathi*	At the beginning of the year	0	0.00					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):				NA		NA	
		At the end of the year	0	0.00					
* retired w.e.f. 1st April, 2019									
10	P.K. Jain (WTD & CFO)	At the beginning of the year	10,073	0.03					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):				NA		NA	
		At the end of the year	10,073	0.03					
11	Manish Sethi (CS)	At the beginning of the year	1,680	0.00					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):				NA		NA	
		At the end of the year	1,680	0.00					



## Annexure 'I'

### to the directors' report

#### V. Indebtedness

Indebtedness of the Company including interest outstanding/accrued but not due for payment

(₹ in lakh)

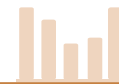
	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	28,545.52	4,000.00	-	32,545.52
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	81.79		-	81.79
<b>Total (i+ii+iii)</b>	<b>28,627.31</b>	<b>4,000.00</b>	-	<b>32,627.31</b>
<b>Change in Indebtedness during the financial year</b>				
Addition	4,134.49	1,300.00	-	5,434.49
Reduction	(8,538.78)	-	-	(8,538.78)
<b>Net Change</b>	<b>(4,404.29)</b>	<b>1,300.00</b>	-	<b>(3,104.29)</b>
<b>Indebtedness at the end of the financial year</b>				
i) Principal Amount	24,189.63	5,300.00	-	29,489.63
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	33.39		-	33.39
<b>Total (i+ii+iii)</b>	<b>24,223.02</b>	<b>5,300.00</b>	-	<b>29,523.02</b>

#### VI. Remuneration of Directors and Key Managerial Personnel

##### A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

(₹ in lakh)

Sl. No.	Particulars of Remuneration	Name of MD/ WTD/ Manager			Total Amount
		Mr. K. K. Sarda	Mr. Pankaj Sarda	Mr. P. K. Jain (WTD & CFO)	
1	Gross Salary				
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	141.36	65.84	51.06	258.26
	(b) Value of perquisites under Section 17(2) Income Tax Act, 1961	0.40	0.29	0.29	0.98
	(c) Profits in lieu of salary under Section 17(3) Income Tax Act, 1961	-	-	-	-
2	Stock Options	-	-	-	-
3	Sweat Equity	-	-	-	-
4	Commission				
	a) as % of profit	350.00	100.00	-	450.00
	b) others, specify	-	-	-	-
5	Others, please specify (PF/Superannuation)	17.34	5.10	4.03	26.47
	<b>Total (A)</b>	<b>509.10</b>	<b>171.23</b>	<b>55.38</b>	<b>735.71</b>
	Ceiling as per the Act	10% of the net profits of the Company.			



## Annexure 'I'

### to the directors' report

#### B. Remuneration to other Directors :

(₹ in lakh)

Sl. No.	Particulars of Remuneration	Name of Director							Total
		Mrs. Uma Sarda	Mr. C.L. Lakshmi-narayanan	Mr. A.K. Basu	Mr. Rakesh Mehra	Mr. P.R. Tripathi	Mr. G.S. Sahni	Mr. J. Bala-krishnan	
1.	<b>Independent Directors:</b>								
a)	Fee for attending board / committee meetings	-	1.40	2.90	1.20	1.30	1.60	3.40	11.80
b)	Commission	-	3.00	3.00	3.00	3.00	3.00	3.00	18.00
c)	Others, please specify (Stock option)	-	-	-	-	-	-	-	-
	<b>Total (1)</b>	-	<b>4.40</b>	<b>5.90</b>	<b>4.20</b>	<b>4.30</b>	<b>4.60</b>	<b>6.40</b>	<b>29.80</b>
2.	<b>Other Non Executive Directors</b>								
a)	Fee for attending board committee meetings	1.20	-	-	-	-	-	-	1.20
b)	Commission	-	-	-	-	-	-	-	-
c)	Others, please specify	-	-	-	-	-	-	-	-
	<b>Total (2)</b>	<b>1.20</b>	-	-	-	-	-	-	<b>1.20</b>
	<b>Total (B) = (1+2)</b>	<b>1.20</b>	<b>4.40</b>	<b>5.90</b>	<b>4.20</b>	<b>4.30</b>	<b>4.60</b>	<b>6.40</b>	<b>31.00</b>
	Total Managerial Remuneration <sup>^</sup>								<b>766.71</b>
	Overall Ceiling as per the Act	11% of the net profits of the Company							

<sup>^</sup> Total remuneration to Managing Director, Whole-Time Directors and other Directors (being the total of A and B).

#### C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD :

(₹ in lakh)

Sl. No.	Particulars of Remuneration	Key Managerial Personnel <sup>§</sup>	Total
		Company Secretary	
1	Gross Salary		
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	13.33	13.33
	(b) Value of perquisites under Section 17(2) Income Tax Act, 1961	0.00	0.00
	(c) Profits in lieu of salary under Section 17(3) Income Tax Act, 1961	0.00	0.00
2	Stock Options	0.00	0.00
3	Sweat Equity	0.00	0.00
4	Commission		
	a) as % of profit	0.00	0.00
	b) others, specify....	0.00	0.00
5	Others, please specify (PF/Superannuation)	0.46	0.46
	<b>Total</b>	<b>13.79</b>	<b>13.79</b>

<sup>§</sup> Details of remuneration of CFO - Mr. P.K. Jain appears along with the details of remuneration of Wholetime Directors as he also is a Whole time Director

**Annexure 'I'**

## to the directors' report

**VII. Penalties / Punishment / Compounding of Offences**

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment / Compounding fees imposed	Authority [RD / NCLT / COURT]	Appeal made, if any (give details)
<b>A. COMPANY</b>					
Penalty			NIL		
Punishment					
Compounding					
<b>B. DIRECTORS</b>					
Penalty			NIL		
Punishment					
Compounding					
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty			NIL		
Punishment					
Compounding					